

#4 IDS 874

M. Branson

Docket No.

UC97-156-8

7/5/01

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

In Re Application Of

MAY 29 2001

SOLGAARD, O.; HERITAGE, J.; BHATTARI, A.

Serial No.

09/849,096

Filing Date

MAY 4, 2001

Examiner

Group Art Unit

Title: MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH

Address to:
Assistant Commissioner for Patents
 Washington, D.C. 20231

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

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UC97-156-8

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Payment of Fee
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated:

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(916) 498-1010

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Docket No.

UC97-156-8

Applicant(s): **OLAV SOLGAARD ET AL.**Serial No.
09/813,446Filing Date
MAY 4, 2001Examiner
LEE, J.Group Art Unit
2874Invention: **MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**

I hereby certify that the following correspondence:

Information Disclosure Citation (PTO-1449) (Page 1)*(Identify type of correspondence)*

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Applicant(s): SOLGAARD, POE HERITAGE, J.; BHATTARI, A.

Docket No.

UC97-156-8

Serial No.
09/849,096MAY 29 2001 Filing Date
MAY 4, 2001

Examiner

Group Art Unit

Invention:

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Applicant(s): SOLGAARD, O.; HERITAGE, J.; BHATTARI, A.

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UC97-156-8

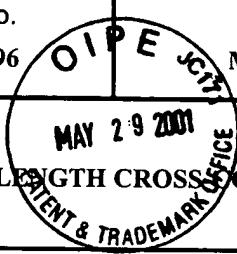
Serial No.
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Examiner

Group Art Unit

Invention:

MULTI-WAVELENGTH CROSS CONNECT OPTICAL SWITCH



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